



REQUEST CRITERIA

(You have requested the following)

Date Of Request:	03/02/202x
Name of Requestor:	EXPERIAN JAPAN K.K.
Requested Name:	MIN AUNG HLAINx
Requested Name Match Type:	NEAR
File Reference Number:	-

■ OFACとは  
米国の財務省外国資産管理室（OFAC）は、外交政策・安全保障上の目的から、米国が指定した国・地域や特定の個人・団体などについて、取引禁止や資産凍結などの措置を講じており、そうした規制はOFAC規制と呼ばれています。

SEARCH RECORD

Risk Category	Name	Matched Name	Date of Birth (dd/mm/yyyy)	Gender	Country	Remarks	Match %
SAN	HLAINx, MIN AUNG	MIN AUNG HLAINx	03/07/1956 1956	MALE	MYANMAR	OFAC - GLOBAL MAGNITSKY HUMAN RIGHTS ACCOUNTABILITY ACT	100

LEGENDS (click [HERE](#) to hide or unhide Legends)

Risk Category		Description
SAN	SANCTION LIST - PERSON	PERSONS WHO ARE SUBJECT TO COMPREHENSIVE OR TARGETED RESTRICTIVE MEASURE OR CITED IN SANCTIONS LIST FOR VARIOUS REASON.
OOL	OTHER OFFICIAL LIST - PERSON	PERSONS WHO ARE ASSOCIATED WITH POTENTIALLY HIGH RISK ACTIVITIES SUCH AS SERIOUS CRIME AND FINANCIAL OR PROFESSIONAL ENFORCEMENT ACTIONS OR WARNINGS.
PEP	POLITICALLY EXPOSED PERSON	PERSONS WHO ARE WIELDING POLITICAL POWER OR INFLUENCE, EITHER IN A GOVERNMENT POSITION OR IN AN INTERNATIONAL ORGANIZATION.
SI	SPECIAL INTEREST - PERSON	PERSONS WHO ARE PROMINENT IN THE NEWS ASSOCIATION WITH ACCUSED OF, ARRESTED FOR OR CONVICTED OF SERIOUS CRIMES.
SI-LT	SPECIAL INTEREST - PERSON (LOWER THRESHOLD)	PERSONS WHO ARE REPORTED TO HAVE COMMITTED CRIMES, OR HAS BEEN FORMALLY DECLARED WANTED AN OFFENCE, OR HAS BEEN CHARGED WITH AND/ OR ARRESTED ON SUSPICION ACTIVITIES.
OEL	OTHER EXCLUSION LIST - PERSON	EXCLUSIONS FROM PUBLIC SECTOR ACTIVITIES AGAINST PEOPLE AND/OR ENTITIES OPERATING IN A PARTICULAR JURISDICTION, SECTOR OR INDUSTRY, USUALLY FOR A SET PERIOD.
RCA	RELATIVE OR CLOSE ASSOCIATES	THE IMMEDIATE FAMILY MEMBERS OF A PERSON AND THEIR CLOSEST NON-FAMILIAL ASSOCIATES OF PEP.
BRD	BOARD MEMBER	BOARD MEMBER OF THE STATE-OWNED COMPANIES BY THE COMPREHENSIVELY SANCTIONED COUNTRIES.

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# 海外コンプライアンスサービス・サンプルレポート(法人)



— 制裁対象や金融犯罪など企業リスク情報を即時取得可能 —

弊社会員サイトIBR360から最短1分で検索が可能です。検索結果は該当なしであれば「NO TRACE」/「NO MATCH」、ありの場合はリスクカテゴリーで判定が出ます。基本検索で該当ありの場合、オプションによる詳細情報の閲覧が可能です。

該当なし

**BASIC CORPORATE KYC CHECK (FCCB)**

**REQUEST CRITERIA**  
(You have requested the following)

Date Of Request: 04/09/2019  
Name of Requestor: エクスぺリアンジャパン株式会社  
Requested Name: ABC株式会社  
Requested Name Match Type: NEAR  
Requested Country Type: REGISTRATION  
File Reference Number: 135623

THIS TRACE REPORT ORDER ITEM ID: 60381458 WAS GENERATED ON 04/09/2019.

**SEARCH RECORD**

Risk Category	Name	Matched Name	Country	Remarks	Subsidiary	Match %
NO TRACE						

## 1 REQUEST CRITERIA 依頼条件

- Date of Request : 依頼日
- Name of Requestor : 依頼者
- Requested Name : 検索ワード(企業名)
- Requested Name Match Type : 検索条件
- Requested Country Type : 所在国
- File Reference Number : 依頼番号(管理No.)

## 2 SEARCH RECORD 検索結果

- Risk Category : リスクカテゴリー
- Name/Match Name : 名称/合致名称
- Country : 国
- Remarks : 概要
- Subsidiaries : 関連データ
- Match% : 合致精度

該当あり

**BASIC CORPORATE KYC CHECK (FCCB)**

**REQUEST CRITERIA**  
(You have requested the following)

Date Of Request: 10/07/2019  
Name of Requestor: エクスぺリアンジャパン株式会社  
Requested Name: ABC株式会社  
Requested Name Match Type: NEAR  
Requested Country Type: REGISTRATION  
File Reference Number: 1111111111111

THIS TRACE REPORT ORDER ITEM ID: 39634650 WAS GENERATED ON 10/07/2019.  
SHOWING (1 - 3) OF 3.

**SEARCH RECORD**

Risk Category	Name	Matched Name	Country	Remarks	Subsidiary	Match %
OOL	ABC CO.,LTD.	ABC株式会社	JAPAN	INVESTMENT POLICY BOARD - PROHIBITED INVESTMENT LIST	NO	97
OEL	ABC TOKYO CO.,LTD.	ABC東京株式会社	JAPAN	PREFECTURAL GOVERNMENT (JAPAN) EXCLUSION LIST	NO	95
OEL	OSAKA ABC CO.,LTD.	大阪ABC株式会社	JAPAN	PREFECTURAL GOVERNMENT (JAPAN) EXCLUSION LIST	NO	95

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主要リスクカテゴリー			
	略称	概要	
該当なし	-	No Trace / No Match	該当なし
該当あり	SI	Special Interest	特別要注意
	SI-LT	Special Interest (Lower Threshold)	特別要注意(最低限)
	ECR	Enhanced Country Risk	カントリーリスク関連
	SAN	Sanctions List	制裁リスト
	OOL	Other Official List	その他公的リスト
	OEL	Other Exclusion List	指名停止リスト
	PEP	Politically Exposed Person	公的要人
	BRD	Board Member	役員
	RCA	Relative & Close Associate	親族・近親者または側近
	SOC	State Owned Company	国営もしくは国が関与
	AM	Adverse Media - Entity	メディア情報
	SOR	Sanctions Ownership Research	制裁関連(直接・間接)

## 主要リスクカテゴリー/凡例

- SAN Sanctions List - Entity** ————— 包括的、または絞り込まれた条件に合致する、制裁リスト—事業体  
または様々な理由により制裁リストに指名されている事業体。
- OOL Other Official List - Entity** ————— 重大犯罪や金融、職業上の行政執行や注意勧告など、潜在的にリスクが高いものに関連する事業体。  
その他公的リスト—事業体
- OEL Other Exclusion List - Entity** ————— 個人または事業者に対する特定の行政管轄、機関、または業界における公共事業からの除外。  
指名停止—事業体 (通常特定の期間における)

# 海外コンプライアンスサービス・サンプルレポート(個人)



— 反社会的勢力や公的要人(PEPs)などで個人リスク情報を即時取得可能 —

法人代表者、役員、株主等ステークホルダーの検索で多く活用いただいております。法人、個人の両側面でリスク管理されています。  
検索結果は法人と同様、該当なしであれば「NO TRACE」/「NO MATCH」、該当有りの場合は個人用のリスクカテゴリーで判定が出ます。  
基本検索で該当有りの場合、オプションによる詳細情報の閲覧が可能です。

該当なし

**BASIC INDIVIDUAL KYC CHECK (FICB)**

**REQUEST CRITERIA**  
(You have requested the following)

Date Of Request: 05/07/2019  
Name of Requestor: エクスぺリアンジャパン株式会社  
Requested Name: 山田太郎  
Requested Name Match Type: NEAR  
File Reference Number: -

THIS TRACE REPORT ORDER ITEM ID: 39632571 WAS GENERATED ON 05/07/2019.  
SHOWING (1 - 1) OF 1.

**SEARCH RECORD**

Risk Category	Name	Matched Name	Date of Birth (dd/mm/yyyy)	Gender	Country	Remarks	Match %
NO TRACE							

該当あり

**BASIC INDIVIDUAL KYC CHECK (FICB)**

**REQUEST CRITERIA**  
(You have requested the following)

Date Of Request: 05/07/2019  
Name of Requestor: エクスぺリアンジャパン株式会社  
Requested Name: 山田太郎  
Requested Name Match Type: NEAR  
File Reference Number: -

THIS TRACE REPORT ORDER ITEM ID: 39632571 WAS GENERATED ON 05/07/2019.  
SHOWING (1 - 1) OF 1.

**SEARCH RECORD**

Risk Category	Name	Matched Name	Date of Birth (dd/mm/yyyy)	Gender	Country	Remarks	Match %
SI-LT	YAMADA, TARO	山田太郎	1980	MALE	JAPAN		100

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## 1 REQUEST CRITERIA 依頼条件

- Date of Request : 依頼日
- Name of Requestor : 依頼者
- Requested Name : 検索ワード(姓名)
- Requested Name Match Type : 検索条件
- File Reference Number : 依頼番号(管理No.)

## 2 SEARCH RECORD 検索結果

- Risk Category : リスクカテゴリー
- Name / Match Name : 名称/合致名称
- Date of Birth : 生年月日
- Gender : 性別
- Country : 国
- Remarks : 概要
- Match % : 合致精度

主要リスクカテゴリー			
	略称	概要	
該当無し	-	No Trace / No Match	該当無し
該当あり	SI	Special Interest	特別要注意
	SI-LT	Special Interest (Lower Threshold)	特別要注意(最低限)
	ECR	Enhanced Country Risk	カントリーリスク関連
	SAN	Sanctions List	制裁リスト
	OOL	Other Official List	その他公的リスト
	OEL	Other Exclusion List	指名停止リスト
	PEP	Politically Exposed Person	政府要人
	BM	Board Member	役員
	RCA	Relative & Close Associate	親族・近親者または側近
	SOC	State Owned Company	国営もしくは国が関与
	AM	Adverse Media - Entity	メディア情報
	SOR	Sanctions Ownership Research	制裁関連(直接・間接)

## 主要リスクカテゴリー/凡例

- SAN** Sanction List - Person 包括的、または絞り込まれた条件に合致する、制裁リスト—人物
- OOL** Other Official List - Person 重大犯罪や金融、職業上の行政執行や注意勧告など、潜在的にリスクが高いものに関連する人物。その他公的リスト—人物
- PEP** Politically Exposed Person 政府役職や国際機関において、政治権力や影響力を持つ人物。政府要人
- SI** Special Interest - Person 重大犯罪の容疑者、逮捕者、または有罪者として報道される人物。特別注意—人物